

PAIENI GAZZANIA Attorney's Do. No. 8514-57 (SFA-28)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: PHILIP D. LAPSLEY; JONATHON ALEXANDER LEE; Technology Center 2100

Serial No.: 09/815,434

Group Art Unit: Unknown

Filed: March 22, 2001

For: TOKENLESS BIOMETRIC ELECTRONIC FINANCIAL TRANSACTIONS VIA A THIRD PARTY IDENTICATOR

Box DD **Assistant Commissioner for Patents** Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS COR-RESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON D.C.

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INFORMATION DISCLOSURE STATEMENT

Applicant submits herewith PTO Form 1449 and copies of patents and publications of which he is aware which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR Section 1.56.

This application is a continuation-in-part of co-pending application Serial No. 09/239,570, filed on January 29, 1999, which is a continuation of application Serial No. 08/705,399, filed on August 29, 1996 now U.S. Patent No. 5,870,723, which is a continuation-in-part of U.S. Application Serial No. 08/442,895 filed on May 17, 1995 now US Patent No. 5,613,012 which is a continuationin-part of U.S. Application Serial No. 08/345,523, filed on November 28, 1994, now U.S. Patent No. 5,615,277, all commonly assigned.

It is understood that the listed references will be considered in the examination of the application and that no separate copies of the same prior art are required to be provided since they were previously cited or transmitted in the foregoing prior application. 37 CFR Section 1.98(d). Form(s) PTO 1449 is enclosed listing references cited by the Examining Attorney and submitted by applicant in the prior applications.

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PATENT TRADEMARK OFFICE

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